



SEASCOPE CAPITAL MANAGEMENT, LLC

PRIVACY POLICY

Seascope Capital Management, LLC, an independent wealth management firm, is committed to safeguarding your confidential information. We hold all personal information provided to our firm in the strictest confidence. These records include all personal information that we collect from you in connection with any of the services we provide. We use health and financial information that you provide to us to help you meet your personal financial goals while guarding against any real or perceived infringements of your rights of privacy. Our policy with respect to personal information about you is listed below.

- We limit employee access to information only to those with a business or professional reason for knowing. Further, we prohibit them from disclosing such information during their employment and after their departure. Federal regulations permit us, for example, to share a limited amount of information about you with a brokerage firm in order to execute securities transactions on your behalf. Similarly, federal and state regulators may also review firm records as law permits.
- The categories of nonpublic personal information we collect from you depend upon the scope of the client engagement. They may include information about your personal finances, information about your health to the extent that it is needed for the planning process, and information about transactions between you and third-parties.
- We may disclose information about you to our affiliates, representatives, nonaffiliated third-parties who provide or will provide you with financial products and services, or our service providers, such as a mailing company.
- We do not provide your personally identifiable information to any third-party for the purpose of marketing to you.
- To the extent reasonable and necessary, we require third party vendors and nonaffiliated third-parties to sign confidentiality agreements and document secure processes.
- We maintain a secure office and network environment to ensure that your information is not placed at unreasonable risk.
- Due to concerns over your personally identifiable information potentially being stored outside of our control, we choose not to utilize meeting recording or AI notetaking services. Should you decide for your own convenience to utilize such services, we cannot be responsible for your data security. Also, if you choose to record our conversations, we ask you to let us know.
- Personally identifiable information about you will be maintained during the time you are a client and for the required time thereafter as required by federal and state securities laws. After this required period of record retention, we destroy it.

Ver202603